| UTILITY PATENT APPLICATION | Attorney Docket No. SNY-042 | | |
|---|-------------------------------------|-------------|--|
| TRANSMITTAL | First named Inventor or Application | | |
| (Only for new nonprovisional applications | Title of Invention | LITHILM SEC | |

Transmittal Form w/ Declaration **Total Pages** Identifier Hiroshi MINAMI Title of Invention

(Only for new nonprovisional applications under 37 CFR 1.53(b))

LITHIUM SECONDARY BATTERY AND METHOD FOR MANUFACTURING THEREOF

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO: **Commissioner for Patents Box Patent Application** Alexandria, VA 22313-1450

- 2.

 Specification [Total Pages 50]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3.

 Formal Drawing(s) (35 USC 113) [Total Sheets 6]
- 4.

 Oath or Declaration [Total pages 3]
 - a.

 Newly executed (original or copy)
 - b. □ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed) [Note Box 5 below]

I. D DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. □ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a.

 Computer Readable Copy
 - b. □ Paper Copy (identical to computer copy)
 - c. □ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8.

 Assignment papers (cover sheet & document(s))
- 9. □ 37 CFR 3.73(b) Statement

(When there is an assignee)

- □ Power of Attorney
- 10. □ English Translation Document (if applicable)
- 11.

 Information Disclosure Statement (IDS) /PTO-1449
- 12.

 Preliminary Amendment
- 13.

 Return Receipt Postcard (MPEP 503)
- 14. □ Assertion to Entitlement to Small Entity Status ☐ Assertion filed in prior application, status still proper and desired
- 15.

 Certified Copy of Priority Documents and 2003-027805 filed on September 30, 2002, and February 5, 2003, respectively, in Japan is claimed under 35 USC 119. ☐ The certified copy has been filed in prior application Serial No. 08/*.
- 16. □ Other: *

OTHER

17. □ Priority of * Patent Application No. * filed * is claimed under 35 USC 119.

FAX

202-887-9093

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: □ Divisional ☐ Continuation-in part (CIP)

| | of prior application No.: 09/ * | | | | |
|---------------|---------------------------------|-----------|----------------|----------------|---------|
| | 19. | CORRESPON | IDENCE ADDRESS | | |
| ⊠ Customer Nu | ımber or Bar Code Label | 020374 | | ondence addres | a hata |
| NAME | KUBOVCIK & KUBOVC | IK | | | s delow |
| ADDRESS | 900 17th Street, N.W. | | | | |
| CITY | Washington | STATE | DC | 710 000 = | |
| FILING DATE | September 30, 2003 | TEL | 202-887-9023 | ZIP CODE | 20006 |



FEE TRANSMITTAL

Note: Effective January 1, 2003

| Application Number | Not Yet Assigned |
|------------------------|--------------------|
| Filing Date | September 30, 2003 |
| First Named Inventor | Hiroshi MINAMI |
| Group Art Unit | Not Assigned |
| Examiner Name | Not Assigned |
| Attorney Docket Number | SNY-042 |

| CLAIMS AS FILED-PART 1 | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | | |
|--|------------------|--------------|-----------|-------------------------|-----------|----------|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE (37 CFR 1.16 (a)) | | | | \$375.00 | | \$750.00 |
| TOTAL CLAIMS (37 CFR 1.16 (c)) | <u>19</u> - 20 = | | \$9.00 | | \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16 (B)) | <u>4</u> - 3 = | 1 | \$42.00 | | \$84.00 | \$84.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) | | \$140.00 | | \$280.00 | | |
| s A. Life | - | = | SUB TOTAL | | SUB TOTAL | \$834.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION | | \$65.00 | | \$130.00 | | |
| RECORDING ASSIGNMENT | | \$40.00 | | \$40.00 | \$40.00 | |
| TOTAL | | 3 | \$0.00 | i i | \$874.00 | |

| METHOD OF PAYMENT (check one) | | | | |
|--|---------------------|---|--|--|
| The commissioner is hereby authorized to credit overpayments or charge insufficiencies to: | | 2. ☑ Payment Enclosed: ☑ Check (# 5646 for \$874.00) | | |
| DEPOSIT ACCOUNT No. | 111833 | □ Money Order □ Other | | |
| DEPOSIT ACCOUNT NAME | KUBOVCIK & KUBOVCIK | 2 34.6. | | |

| SIGNATURE OF ATTORNEY, OR AGENT | | | |
|---------------------------------|-----------------------|------------------|--|
| NAME | Keiko Tanaka Kubovcik | REGISTRATION No. | 40,428 |
| SIGNATURE | Keitul | ADDRESS | KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006 |
| | | TELEPHONE | 202-887-9023 |
| DATE | September 30, 2003 | FAX | 202-887-9093 |

KTK/sb